



# UL Solutions responsible sourcing services

Safety. Science. Transformation.™

**UL Solutions responsible sourcing service offerings can support manufacturers, brands and retailers in advancing their sustainable business practices within global supply chains.**

We execute our mission by:

- Delivering auditing and advisory services that meet the evolving needs of the marketplace
- Being a trusted adviser and thought leader to you and your stakeholders
- Empowering trust in a complex world

We have provided auditing and advisory services to more than 500 retailers, brands and suppliers. Our employees represent over 30 nationalities and languages. Our global footprint covers more than 100 countries where we conduct more than 20,000 social assessments each year. We can quickly deploy resources to offer services in newly emerging markets.

We recognize that a global supply chain presents a unique set of challenges in assessing sustainable practices across different regions and products.

The composition of multitier supply chains adds an additional layer of complexity to monitoring efforts.

### **Our responsible sourcing services**

We offer you a full portfolio of responsible sourcing services to address the evolving needs of the marketplace. We take a customizable and holistic approach to support you in developing a responsible sourcing program based on your unique business strategy and supply chain.

[UL.com/Solutions](https://www.ul.com/Solutions)

Our responsible sourcing services consist of six pillars:



### Developing responsible sourcing programs

- Code of conduct review
- Benchmarking codes and program elements
- Environmental policy benchmarks
- Specialized standard development
- Supplier manuals
- CSR manuals
- Program manuals



### Supply chain risk and due diligence

- Supply chain mapping
- Risk identification
- Risk analysis
- Research
- Due diligence



### Improving audit programs

- Development or customization of audit tools
- Grading tools
- Scoring methodology
- Harmonizing
- Social compliance program audits



### Specialized audits

- Third-party audits
- Code of conduct audits
- Technical audits
- Traceability audits
- Investigations
- Social labor audits
- CTPAT security audits



### Audit alternatives

- Using existing audit reports to provide regrades, waivers and exemptions
- Specialized desktop document review



### Improving supplier performance

- Training (eg. root cause analysis and management systems training)
- Correction action and preventive action (CAPA)



### Responsible sourcing audit programs

Drawing on 20-plus years of industry leadership experience, we provide tailored solutions to responsible sourcing challenges across a variety of industries and regions. We support a number of third-party audit schemes and offer the following auditing services.

#### UL Solutions supports the following audit schemes:

- Amfori's Business Social Compliance Initiative (BSCI)
- Amfori's Business Environmental Performance Initiative (BEPI)
- Higg Facility Environmental Module (Higg FEM 3.0)
- Responsible Business Alliance (RBA) Validated Assessment Process (VAP) and non-VAP
- Responsible Minerals Initiative (RMI)
- Seafood Task Force Traceability and Vessel Assessments
- Supplier Compliance Audit Network (SCAN) Association
- Sedex Members Ethical Trade Audit (SMETA)

### UL Solutions also provides the following proprietary audit services:

- Responsible Sourcing Workplace Assessment (RSWA)
- Responsible Sourcing Agricultural Assessment (RSAA)
- Chemicals Management Audit
- Environmental Audit
- Wastewater Assessment
- Fire Safety Management Systems Audit
- Brand Protection Audit
- Production Verification Assessment
- Security Audit
- Customs Trade Partnership Against Terrorism (CTPAT) Audit
- Traceability Audit
- Responsible Minerals Due Diligence Assessment
- Responsible Minerals Supplier Verification Assessment

